# CALTRANS STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION BID FOR

CONSTRUCTION ON STATE HIGHWAY IN
FRESNO COUNTY AT PRATHER FROM 0.1 MILE WEST TO 0.1 MILE EAST OF AUBERRY ROAD
In District 06 on Route 168

UNDER

Notice to Bidders and Special Provisions dated April 18, 2016 Project plans approved January 11, 2016 Standard Specifications dated 2010 Standard Plans dated 2010

Identified by

Contract No. 06-0L3404

06-Fre-168-T30.1/T30.4

Project ID 0600000301

FEDERAL-AID PROJECT ACHSSTP-P168 (062) E

Bids open Tuesday, May 24, 2016

Dated April 18, 2016

AADD

Bidder Name: Emmett's Excavation, Inc.

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Bidder ID: VC0000103869

Name of Bidder Emmett's Excavation, Inc.
Business Street Address 6207 East Clinton Ave
(Include even if P.O. Box is used)
Business P.O. Box
City, State, Zip Fresno, California, 93727
Telephone No: AREA CODE 559-347-9188
Fax No: AREA CODE 559-348-9282
Contractor License No. 579845
Public Works Contractor Registration No. 1000005267
Checksum D7B18BF7

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non\*plant establishment work within the number of working days bid for non\*plant establishment work.

- 2. Bidder has and acknowledges the following addenda: 2 05-09-16 Revise Notice to Bidders and Special Provisions,
- 3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
- 4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME:LUCRETIA EMMETT AND
TITLE OF PERSON SIGNING:VICE PRESIDENT

Bidder Name: Emmett's Excavation, Inc.

ion, Inc. Bidder ID:VC0000103869
BID ITEM LIST

l Item	   Item   Code	   Item   Description	Uni	Estimated   Quantity   t of   sure		t Price	Item Total
1	070030  PLAN 	LEAD COMPLIANCE	     LS	LUMP SUM	LUMP	SUM	1,000.00
2	120090  AREA SIG	CONSTRUCTION	     LS	LUMP SUM	LUMP	SUM	8,000.00
3	120100  SYSTEM	TRAFFIC CONTROL	       LS	LUMP SUM	LUMP	SUM	60,000.00
4		TEMPORARY I MARKING (PAINT)	    SQF	200.0  T		5.00	1,000.00
5		TEMPORARY STRIPE (TAPE)	    LF	26,900.0		2.00	53,800.00
6		CHANNELIZER E MOUNTED)	    EA	99.0		40.00	3,960.00
7	the second secon	TEMPORARY I MARKER	    EA	500.0  		4.00	2,000.00
8		PORTABLE BLE MESSAGE SIGN	     LS	LUMP SUM	LUMP	SUM	25,000.00
9		TEMPORARY (TYPE K)	    LF	6,920.0  		18.00	124,560.00
10	129100  CUSHION 	TEMPORARY CRASH MODULE	    EA	250.0  		54.00	13,500.00
	130100  MANAGEM	JOB SITE ENT	     LS	LUMP SUM	LUMP	SUM	1,308.00
12	WATER P	PREPARE STORM OLLUTION ION PLAN	     Ls	LUMP SUM	LUMP	SUM	2,000.00

Bidder Name: Emmett's Excavation, Inc.

Bidder ID:VC0000103869

l Item	   Item   Code	   Item   Description	  Unit			Item Total   
13	130310  ACTION I	RAIN EVENT	    EA	37.0	500.00	18,500.00
14		STORM WATER G AND ANALYSIS	    EA	5.0	250.00	1,250.00
15	130330  ANNUAL H	STORM WATER REPORT	    EA	1.0	2,000.00	2,000.00
116		MOVE-IN/MOVE-OUT ARY EROSION	    EA	2.0	325.00	650.00
17	BLANK		     LS	LUMP SUM	LUMP SUM	0.00
18	130620  DRAINAGE  PROTECT:		     EA	5.0  	200.00	1,000.00
19	130640  ROLL	TEMPORARY FIBER	    LF	1,300.0	3.00	3,900.00
20	130650  BAG BERN	TEMPORARY GRAVEL	    LF	200.0	13.00	2,600.00
21	130680  FENCE	TEMPORARY SILT	    LF	1,300.0	3.25	4,225.00
22		TEMPORARY CTION ENTRANCE	    EA	2.0	2,800.00	5,600.00
23	130730 	STREET SWEEPING	     LS	LUMP SUM	LUMP SUM	22,000.00
24		TEMPORARY E WASHOUT	     LS	LUMP SUM	LUMP SUM	700.00

Bidder Name: Emmett's Excavation, Inc.

tion, Inc. Bidder ID: VC0000103869
BID ITEM LIST

Item   No.	   Item   Code	   Item   Description				Item Total
25		WATER QUALITY G AND ANALYSIS	    EA	16.0	500.0	8,000.00
26	141000  (TYPE ES	TEMPORARY FENCE SA)	      LF	160.0	3.0	 
27	141120  WASTE 	TREATED WOOD	    LB	4,000.0	0.5	 5  2,200.00
28	150714  THERMOPI  STRIPE	REMOVE LASTIC TRAFFIC	    LF	13,300.0	0.9	   0  11,970.00
29	150715  THERMOPI  MARKING	REMOVE LASTIC PAVEMENT	    SQFT	380.0	2.0	 0  760.00  
30	150722  MARKER 	REMOVE PAVEMENT	    EA	290.0	2.0	 0  580.00
31	150742  SIGN	REMOVE ROADSIDE	    EA	8.0	100.0	800.00
32	150771  CONCRETI	REMOVE ASPHALT E DIKE	    LF	19.0	62.0	1,178.00
33	150820   	REMOVE INLET	    EA	1.0	600.0	600.00
34	150834  WALL (WO	REMOVE RETAINING	     LF	150.0	16.0	2,400.00
35		COLD PLANE CONCRETE I	    SQYD	2,670.0	5.0	13,350.00
36	160102  GRUBBING 	CLEARING AND G (LS)	     LS	LUMP SUM	LUMP SUM	30,000.00

Bidder Name: Emmett's Excavation, Inc.

Bidder ID:VC0000103869

Item   No.	   Item   Code	   Item   Description	Estimated Quantity  Unit of  Measure	10.00	Item Total
	180106   	DUST PALLIATIVE	LUMP SUM	LUMP SUM	   5,000.00
	190101  EXCAVAT:	ROADWAY ION	   6,560.0  CY	15.00	98,400.00
		ROADWAY ION (LEAD)	   170.0  CY	70.00	11,900.00
40	190161   	ROCK EXCAVATION	   500.0  CY	80.00	40,000.00
41	190185   	SHOULDER BACKING	   60.0  TON	50.00	3,000.00
42	200114	ROCK BLANKET	   6,170.0  SQFT	1.00	6,170.00
43	210300	HYDROMULCH	   47,900.0  SQFT	0.10	4,790.00
44	210350   	FIBER ROLLS	   1,050.0  LF	4.00	4,200.00
45	210430	HYDROSEED	   47,900.0  SQFT	0.10	4,790.00
46	210600   	COMPOST	   47,900.0  SQFT	0.20	9,580.00
47	210630  MATERIA	INCORPORATE LS	   47,900.0  SQFT	0.10	4,790.00
48	The second secon	CLASS 2 TE BASE (CY)	   2,680.0  CY	49.00	131,320.00

Bidder Name: Emmett's Excavation, Inc.

Bidder ID:VC0000103869

TON								
49				Unit	Quantity of	Unit	Price	Item Total
SO	49		OT MIX ASPHALT	    TON	4,480.0		79.00	353,920.00
S1	50	394060 DA	ATA CORE		LUMP SUM	LUMP S	SUM	20,000.00
S2	51	The same of the sa		      LF	640.0		3.00	1,920.00
2.8   500.00   1,400.0     TON	52	ASPHALT (		    SQYD			200.00	10,400.00
54	53	397005 T.	ACK COAT	    TON	2.8		500.00	1,400.00
55(F)   (MINOR STRUCTURE)   14.0   1,800.00   25,200.0   CY	  54 	The property of the party of th		CY	150.0		600.00	90,000.00
56	  55(F) 			CY	14.0	1	L,800.00	25,200.00
57   ONE POST   39.0   400.00   15,600.0   EA	  56 	SHEET ALU	MINUM SIGN	    SQFT			15.00	495.00
58 TWO POST 3.0 450.00 1,350.0	  57 		OADSIDE SIGN -	    EA	39.0		400.00	15,600.00
*	  58 		OADSIDE SIGN -	    EA	3.0		450.00	1,350.00
	  59 	(STRAP AN	D SADDLE	    EA			200.00	600.00

Bidder Name: Emmett's Excavation, Inc.

Bidder ID:VC0000103869

   Item   No.	   Item   Code					rice	Item Total
  60 	SHEET AI	FURNISH SINGLE LUMINUM SIGN UNFRAMED) FOR FLECTIVE SHEETING	SQFT	230.0		10.00	2,300.00
  61 	SHEET A	FURNISH SINGLE LUMINUM SIGN UNFRAMED) FOR FLECTIVE SHEETING I)	    SQFT	80.0		12.00	960.00
62	SHEET A	FURNISH SINGLE LUMINUM SIGN FRAMED) FOR FLECTIVE SHEETING I)	      SQFT	20.0		15.00	300.00
63	SHEET A	FURNISH SINGLE LUMINUM SIGN FRAMED) FOR FLECTIVE SHEETING I)	      sqft	35.0		16.00	560.00
64		RETROREFLECTIVE G (TYPE XI)	    SQFT	370.0		5.00	1,850.00
65			    SQFT	36,000.0		0.90	32,400.00
66	650014  CONCRET	18" REINFORCED E PIPE	    LF	510.0		75.00	38,250.00
67	650018  CONCRET	24" REINFORCED E PIPE	    LF	200.0		83.00	16,600.00
68	705011  END SEC	18" STEEL FLARED	    EA	1.0	7	760.00	760.00
69	705015  END SEC	24" STEEL FLARED	    EA	2.0	 	320.00	1,640.00

Bidder Name: Emmett's Excavation, Inc.

Bidder ID: VC0000103869

Item   No.	   Item   Code	   Item   Description				
70		ROCK SLOPE ION (NO. 2, B) (CY)	CY	5.0	320.00	1,600.00
  71 		ROCK SLOPE ION FABRIC (CLASS	    SQYD	34.0	25.00	   850.00
  72 	The second second second	DETECTABLE SURFACE	    SQFT	840.0	42.00	   35,280.00
73	731502  (MISCELI		CY	410.0	575.00	235,750.00
74 (F)	750001  IRON ANI	MISCELLANEOUS D STEEL	    LB	2,390.0	2.00	4,780.00
75	840504 TRAFFIC	4" THERMOPLASTIC STRIPE	    LF	14,000.0	0.70	9,800.00
76	840505  TRAFFIC	6" THERMOPLASTIC STRIPE	    LF	250.0  	1.00	250.00
77	840506  TRAFFIC	8" THERMOPLASTIC STRIPE	    LF	600.0	1.75	1,050.00
78		THERMOPLASTIC I MARKING	    SQFT	1,860.0	5.50	10,230.00
79		12" LASTIC TRAFFIC (BROKEN 36-3)	LF	170.0	5.00	850.00
80		4" THERMOPLASTIC STRIPE (BROKEN	    LF	170.0  	2.00	340.00
81		4" THERMOPLASTIC STRIPE (BROKEN	    LF	530.0  	2.00	1,060.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Contract No.: 06-0L3404 Project ID: 0600000301 BID ITEM LIST

Bidder Name: Emmett's Excavation, Inc.

Item   No.	   Item   Code	   Item   Description	    Unit  Meas	Quar c of	mated  ntity  		t Pri	ce	
82	The state of the s	PAVEMENT MARKER FLECTIVE)	    EA		36.0		1	10.00	360.00
83		PAVEMENT MARKER EFLECTIVE)	    EA		320.0		]	10.00	3,200.00
84	EXISTING MANAGEM	MAINTAINING G TRAFFIC ENT SYSTEM S DURING CTION	     LS   LS	LUMP	SUM	LUMP	SUM		5,000.00
  85 	BLANK		     LS	LUMP	SUM	LUMP	SUM		0.00
  86 	031022  SYSTEM	ELECTRICAL	       LS	LUMP	SUM	LUMP	SUM		   45,000.00
  87 	BLANK		       LS	LUMP	SUM	LUMP	SUM		0.00
  88 	130510	TEMPORARY MULCH	    SQYI		200.0  			5.00	1,000.00
    89 	031191	LIGHTING	       LS	LUMP	SUM	LUMP	SUM		275,000.00
	999990	MOBILIZATION	       LS	LUMP	SUM	LUMP	SUM		150,000.00
	Total Bid								\$2,152,716.00

Bidder Name: Emmett's Excavation, Inc.

Bidder ID: VC0000103869

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Emmett's Excavation, Inc.

List this sub? Yes

1) Business Name JANET SIMAS PAVING INC
Location City MORGAN HILL State CA
California Contractor License Number 472697
Public Works Contractor Registration Number 1000008185
Portion of Work Subcontracted:

Item % 53 100

Description

List this sub? Yes

2) Business Name TEAM EES
Location City ALAMEDA State CA
California Contractor License Number 386026
Public Works Contractor Registration Number 1000005623
Portion of Work Subcontracted:

Item % 16 100 18 100 Description

19 100 20 100

21 100

List this sub? Yes

3) Business Name TEAM EES
Location City ALAMEDA State CA
California Contractor License Number 386026
Public Works Contractor Registration Number 1000005623
Portion of Work Subcontracted:

Item % 26 100

Description

43 100

44 100

45 100

46 100

Bidder Name: Emmett's Excavation, Inc.

Bidder ID: VC0000103869

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Emmett's Excavation, Inc.

List this sub? Yes

4) Business Name TEAM EES
Location City ALAMEDA State CA
California Contractor License Number 386026
Public Works Contractor Registration Number 1000005623
Portion of Work Subcontracted:

Item %

Description

47 100 88 100

List this sub? Yes

5) Business Name MADCO ELECTRIC
Location City SELMA State CA
California Contractor License Number 749098
Public Works Contractor Registration Number 1000004211
Portion of Work Subcontracted:

Item %

84 100

86 100

89 100

Description

List this sub? Yes

6) Business Name SUPER SEAL AND STRIPE
Location City FILLMORE State CA
California Contractor License Number 396627
Public Works Contractor Registration Number 1000000752
Portion of Work Subcontracted:

Item %

1 100

5 100

7 100

28 100

Description

Bidder Name: Emmett's Excavation, Inc.

Bidder ID: VC0000103869

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Emmett's Excavation, Inc.

#### List this sub? Yes

7) Business Name SUPER SEAL AND STRIPE
Location City FILLMORE State CA
California Contractor License Number 396627
Public Works Contractor Registration Number 1000000752
Portion of Work Subcontracted:

Description

List this sub? Yes

8) Business Name SUPER SEAL AND STRIPE
Location City FILLMORE State CA
California Contractor License Number 396627
Public Works Contractor Registration Number 1000000752
Portion of Work Subcontracted:

Description

#### List this sub? Yes

9) Business Name SUPER SEAL AND STRIPE
Location City FILLMORE State CA
California Contractor License Number 396627
Public Works Contractor Registration Number 1000000752
Portion of Work Subcontracted:

Item % 83 100

Description

Bidder Name: Emmett's Excavation, Inc.

Bidder ID: VC0000103869

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Emmett's Excavation, Inc.

List this sub? Yes

10) Business Name KRC SAFETY CO, INC.
Location City VISALIA State CA
California Contractor License Number 507950
Public Works Contractor Registration Number 1000004730
Portion of Work Subcontracted:

Description

Item %
2 100
3 100
6 100
8 100
9 100

List this sub? Yes

11) Business Name KRC SAFETY CO, INC.
Location City VISALIA State CA
California Contractor License Number 507950
Public Works Contractor Registration Number 1000004730
Portion of Work Subcontracted:

Item % Description
10 100
27 100
31 100
56 100
57 100

List this sub? Yes

12) Business Name KRC SAFETY CO, INC.
Location City VISALIA State CA
California Contractor License Number 507950
Public Works Contractor Registration Number 1000004730
Portion of Work Subcontracted:

Item % Description 58 100 59 100 60 100 61 100 62 100

Bidder Name: Emmett's Excavation, Inc.

Bidder ID: VC0000103869

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Emmett's Excavation, Inc.

List this sub? Yes

13) Business Name KRC SAFETY CO, INC.
Location City VISALIA State CA
California Contractor License Number 507950
Public Works Contractor Registration Number 1000004730
Portion of Work Subcontracted:

Item % 63 100 64 100

Description

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-0L3404 Project ID: 0600000301

Bidder Name: Emmett's Excavation, Inc. Bidder ID: VC0000103869

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A(REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:	Bidder's Na	me:			
Signatu	re.				

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STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Contract No.: 06-0L3404 Project ID: 0600000301 Bidder Name: Emmett's Excavation, Inc. Bidder ID:VC0000103869 SMALL BUSINESS STATUS DES-OE-0102.4 (REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

Contract No. 06-0L3404 Page 19

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-0L3404 Project ID: 0600000301

Bidder Name: Emmett's Excavation, Inc.

Bidder ID: VC0000103869

#### CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION Bidder certifies, to the best of his or her knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

Bidder Name: Emmett's Excavation, Inc. Bidder ID: VC0000103869
INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
- 4.Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
- 6.Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7.Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
- 8.Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
- 10.(a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
- (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

Bidder Name: Emmett's Excavation, Inc.

Bidder ID: VC0000103869

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Contract No. 06-0L3404

Bidder Name: Emmett's Excavation, Inc.
DISCLOSURE OF LOBBYING ACTIVITIES

Bidder ID: VC0000103869 Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 Yes

1. Type of Federal Action: a. contract

2. Status of Federal Action: a. bid/offer/application

3. Report Type: a. initial

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity: PRIME

Tier NA , if known

Congressional District, if known: 22

- 5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime: EMMETT'S EXCAVATION, INC. 6207 E. CLINTON AVE, FRESNO, CA 93727 Congressional District, if known: NA
- 6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable NA

- 8. Federal Action Number, if known: NA
- 9. Award amount, if known (\$): 0.00
- 10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
  - b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):NA
- 11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature:			
Digitalate.			

Print Name: LUCRETIA EMMETT

Title: VICE PRESIDENT

Telephone No.: 559-347-9188

DATE: 5/24/2016

Federal Use Only:

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STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-0L3404 Project ID: 0600000301

Bidder Name: Emmett's Excavation, Inc. Bidder ID: VC0000103869

# EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder EMMETT'S EXCAVATION, INC., proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-0L3404 Project ID: 0600000301

Bidder Name: Emmett's Excavation, Inc. Bidder ID: VC0000103869

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space. NONE

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action. NONE

#### UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Contract No.: 06-0L3404 Project ID: 0600000301 Bidder Name: Emmett's Excavation, Inc. Bidder ID: VC0000103869

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID Under PCC 7106 and 23 USC 112, the bidder declares as follows: State of CA County of FRESNO

Name LUCRETIA EMMETT,

being first duly sworn, deposes and says that he or she is VICE PRESIDENT of

EMMETT'S EXCAVATION, INC.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

#### CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

- 1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- 2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

# NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-0L3404 Project ID: 0600000301

Bidder Name: Emmett's Excavation, Inc. Bidder ID: VC0000103869

# VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.  $\mathtt{NA}$ 

#### ANTITRUST LAW

Under Pub Con Code • 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code • 1101, with any public entity, as defined in Pub Cont Code • 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

NA

# PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 06-0L3404 Project ID: 0600000301

Bidder Name: Emmett's Excavation, Inc. Bidder ID: VC0000103869

# BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

- 1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

  No
- 2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

  No
- 3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

  No
- 4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
  - 4.1. Date of each nonresponsibility determination
  - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
- 4.3. Contract number for each nonresponsibility determination NA

END CERTIFICATIONS

Contract No. 06-0L3404
Bond No
We
as Principal, and
as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,
THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:
WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN
FRESNO COUNTY AT PRATHER FROM 0.1 MILE WEST TO 0.1 MILE EAST OF AUBERRY ROAD In District 06 on Route 168
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 05-24-16.
NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.
In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.
Dated:, 20
Principal
Surety
Ву
Attorney-in-Fact
Contract No. 06-0L3404 Page 29

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

BIDDER'S BOND

DES-OE 0102.3 (REV 3/2008)

Correspondence or claims relating to this bond should be sent to the surety at the following address:
CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT
State of California
County of
On this before me,
Date Here insert Name and Title of the Officer
personally appeared
Name(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal
SIGNATURE
Signature of Notary Public
(SEAL)
(SEAL)
Contract No. 06-0L3404 Page 30

	05-24-16 A 11:30 RCVD Contract No. <u>06-0L3404</u>	
BIDDER'S BOND DES-OE-0102.3 (REV 3/2008)	Bond No. Bid Bond	
W. F. W. F. K. L.		
We Emmett's Excavation, Inc.		
207 E. Clinton Ave, Fresno, CA 93727	as Principal, and	
idelity and Deposit Company of Maryland		
	t of Transportation, hereafter referred to as "Obligee", in the penal sum of pal submitted to the Obligee for the work described below, for the payment	
THE CONDITION OF	THIS OBLIGATION IS SUCH, THAT:	
/HEREAS, the Principal is submitting a bid to the Obligee,	for Construct Roundabout at Intersection 06-0L3404  (Copy here the exact description of work, including location, as it appears on the proposal)	
or which bids are to be opened at Sacramento, CA		
Timori side die to se openied di <u>ederamisme, ex</u>	(Insert place where bids will be opened) on May 24, 2016	
	(Insert date of bid opening)	
	Obligee and judgment is recovered, the Surety shall pay all costs incurred	
	s fee to be fixed by the court.	
ated: May 16 , 2016 .  Correspondence or claims relating to this bond should be sent to the surety at the	Emmett's Excavation Inc.	
ated: May 16 , 2016 .  Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland	Emmett's Excavation Inc.  By:  Pres Principal	
ated: May 16 , 2016 .  Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900	Emmett's Excavation Inc.  By:	
ated: May 16 , 2016 .  Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900	Emmett's Excavation Inc.  By:  John Emmett. Pres Principal Fidelity and Deposit Company of Maryland	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900 San Francisco, CA 94105	Emmett's Excavation, Inc.  By:  John Finett Pres Principal Fidelity and Deposit Company of Maryland  Surety  By  By  By  By  By  By  By  By  By	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900 San Francisco, CA 94105  CALIFORNIA ALLERATE OF California	Emmett's Excavation Inc.  By:  John Emmett Pres Principal Fidelity and Deposit Company of Maryland  By Bonnie Gonzalez  Attorney-In-Fact  1850	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900 San Francisco, CA 94105  CALIFORNIA ALLEGATE CONTROLL STREET CALIFORNIA ALLEGATE COUNTY OF STREET CALIFORNIA ALLEGATE CALIFORNIA CA	Emmett's Excavation Inc.  By:  John Emmett Pres Principal Fidelity and Deposit Company of Maryland  By Bonnie Gonzalez  PURPOSE ACKNOWLEDGMENT  EE ATTACHED SURETY ACKNOWLEDGEMENT	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900 San Francisco, CA 94105  CALIFORNIA ALLE tate of California ounty of methods before me,	Emmett's Excavation Inc.  By:  John Emmett Pres Principal Fidelity and Deposit Company of Maryland  Surety By Bonnie Gonzalez  PURPOSE ACKNOWLEDGMENT  EE ATTACHED SURETY ACKNOWLEDGEMENT*  SEE ATTACHED CALIFORNIA ALL PURPOSE ACKNOWLEDGMENT*	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900  San Francisco, CA 94105  CALIFORNIA ALLE tate of California Ounty of Date  Date	Emmett's Excavation Inc.  By:  John Emmett Pres Principal Fidelity and Deposit Company of Maryland  By Bonnie Gonzalez  PURPOSE ACKNOWLEDGMENT  EE ATTACHED SURETY ACKNOWLEDGEMENT	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900  San Francisco, CA 94105  CALIFORNIA ALLE tate of California ounty of this before me, Date	Emmett's Excavation Inc.  By:  John Emmett Pres Principal Fidelity and Deposit Company of Maryland  Surety By Bonnie Gonzalez  PURPOSE ACKNOWLEDGMENT  EE ATTACHED SURETY ACKNOWLEDGEMENT  SEE ATTACHED CALIFORNIA ALL PURPOSE ACKNOWLEDGMENT*	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900  San Francisco, CA 94105  CALIFORNIA ALL. atte of California  ounty of	Emmett's Excavation Inc.  By:  John Emmett Pres Principal Fidelity and Deposit Company of Maryland  Surety By Bonnie Gonzalez  PURPOSE ACKNOWLEDGMENT  EE ATTACHED SURETY ACKNOWLEDGEMENT  SEE ATTACHED CALIFORNIA ALL PURPOSE ACKNOWLEDGMENT*  Here Insert Name and Title of the Officer	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900  San Francisco, CA 94105  CALIFORNIA ALL. atte of California ounty of n this	Emmett's Excavation Inc.  By:  John Emmett Prespirition Principal Fidelity and Deposit Company of Maryland  Surety Bonnie Gonzalez  PURPOSE ACKNOWLEDGMENT  EE ATTACHED SURETY ACKNOWLEDGEMENT*  Here Insert Name and Title of the Officer  Name(s) of Signer(s)  e the person(s) whose name(s) is/are subscribed to the within instrument the in his/her/their authorized capacity(ies), and that by his/her/their	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900  San Francisco, CA 94105  CALIFORNIA ALLE tate of California  County of Date ersonality appeared  Pho proved to me on the basis of satisfactory evidence to be and acknowledged to me that he/she/they executed the sami ignature(s) on the instrument the person(s), or the entity up	Emmett's Excavation Inc.  By:  John Immett Prespincipal Fidelity and Deposit Company of Maryland  By:  By:  By:  By:  By:  By:  By:  By	
Correspondence or claims relating to this bond should be sent to the surety at the following address: Fidelity and Deposit Company of Maryland 525 Market Street Ste 2900  San Francisco, CA 94105  CALIFORNIA ALL. State of California County of Date  Date  Dersonally appeared  who proved to me on the basis of satisfactory evidence to be and acknowledged to me that he/she/they executed the sami ignature(s) on the instrument the person(s), or the entity up	Emmett's Excavation Inc.  By:  John Finder Principal Fiderity and Deposit Company of Maryland  Surety By Bonnie Gonzalez  PURPOSE ACKNOWLEDGMENT  EE ATTACHED SURETY ACKNOWLEDGEME  SEE ATTACHED CALIFORNIA ALL PURPOSE ACKNOWLEDGMENT*  Here Insert Name and Title of the Officer  Name(s) of Signer(s)  e the person(s) whose name(s) is/are subscribed to the within instrument no in his/her/their authorized capacity(ies), and that by his/her/their on behalf of which the person(s) acted, executed the instrument.  The State of California that the foregoing paragraph is true and correct.	

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California	)
	) 55
County of Fresno	)

On May 16, 2016 , before me, Lyn Genito, Notary Public, personally appeared Bonnie Gonzalez , who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their-signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

LYN GENITO Commission # 2094223 Notary Public - California Fresno County My Comm. Expires Jan 16, 2019 Signature:

Lyn Genito, Notary Publ

(Seal)

Obligee: State of California, Department of Transportation

# ZURICH AMERICAN INSURANCE COMPANY COLONIAL AMERICAN CASUALTY AND SURETY COMPANY FIDELITY AND DEPOSIT COMPANY OF MARYLAND POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Maryland, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Maryland (herein collectively called the "Companies"), by Michael P. Bond, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint Bonnie Gonzalez \_\_\_\_\_\_\_\_, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York, the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 22<sup>nd</sup> day of July, A.D. 2015.

ATTEST:
ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: Michael P. Bond Vice President

M.10 R

Lie D Barr

By: Eric D. Barnes Secretary

State of Maryland County of Baltimore

On this 22nd day of July, A.D. 2015, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, Michael P. Bond, Vice President and Eric D. Barnes, Secretary of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposeth and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Constance A. Dunn, Notary Public My Commission Expires: July 9, 2019



#### EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, <u>Attorneys-in-Fact</u>. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify of revoke any such appointment or authority at any time."

#### CERTIFICATE

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 16th day of May, 2016.







Thomas O. McClellan, Vice President

Tho mehler